

Appl. No. 09/917,447
Response Dated December 23, 2005
Reply to Office action dated September 26, 2005

Amendments to the Specification

Please replace the paragraph beginning on page 1, line 5, with the following amended paragraph:

This Application is related to co-pending U.S. Patent Application Serial No. [[____]] 09/917,120, filed [[____]] July 27, 2001, entitled "Methods and Systems for Assisting Financial Services Firms and Their Representatives" and U.S. Patent Application Serial No. [[____]] 09/916,951, filed [[____]] July 27, 2001, entitled "Methods and Systems for Monitoring the Efficacy of a Marketing Project", both of which are incorporated herein by reference.

Please replace the paragraph beginning on page 13, line 15, with the following amended paragraph:

The Trade Buy Blotter and the Daily Trade Tickets chart of Figures 8-9, respectively, are used to illustrative some of the ways that a system can record the activities of the representatives of a financial services firm. A further discussion this system can be found in co-pending U.S. Patent Application Serial No. [[____]] 09/917,120, filed [[____]] July 27, 2001, entitled "Methods And Systems For Assisting Financial Services Firms And Their Representatives", which is incorporated herein by reference.